FORTNIGHT CLIENTS' ASSETS SEGREGATION STATEMENT (CASS)

AAA Securities (Private) Limited

31 JAN,2023

Feb 10, 2023 2:13 PM

Statement Date	31-JAN-2023
Submission Date	
Security Rate	

Due Date	15-Feb-2023
New Revision	
Statement ID	5355 - 25903

Name TREC	AAA Securities (Private) Limited
Incorporation No	0047778
TREC No	457
Participant ID No	
Clearing Member No	

Section-A: Details relating to securities

SECURITIES AS PER BACK OFFICE RECORD

Description	House Account		Directors/ Sponsors/CEO / Shareholder Account		Employees Account		Client Account	
	Number of Securities	Value of Securities	Number of Securities	Value of Securities	Number of Securities	Value of Securities	Number of Securities	Value of Securities
Securities held	1,274,280	18,423,059	119,772	10,089,387	0	0	2,936,330	92,242,635

SECURITIES AS PER CDC RECORD

Description	House Account		Sub-accounts					
			Directors/ Sponsors/CEO / Shareholder Account		Employees Account		Client Account	
	Number of Securities	Value of Securities	Number of Securities	Value of Securities	Number of Securities	Value of Securities	Number of Securities	Value of Securities
Securities available	76,042	1,045,929	101,272	9,179,182	0	0	2,864,630	89,098,693
Securities pledged with PSX/ NCCPL	1,198,238	17,377,130	18,500	910,205	0	0	73,500	3,122,570
Securities pledged with Banks	0	0	0	0	0	0	0	0
Freeze shares	0	0	0	0	0	0	0	0
Securities in Blocked a/c	0	0	0	0	0	0	0	0
Pending In	0	0	0	0	0	0	0	0
Pending Out	0	0	0	0	0	0	0	0
Reconciling entries:								
1: Pre-settlement delivery	0	0	0	0	0	0	0	0
2: MF Securities	0	0	0	0	0	0	0	0
3: MTS securities	0	0	0	0	0	0	0	0
4: Physical shares	0	0	0	0	0	0	0	0
5: Right Shares subscription	0	0	0	0	0	0	0	0
6: Short delivery from NCCPL	0	0	0	0	0	0	0	0
7: Square up transaction	0	0	0	0	0	0	0	0
8: Others	0	0	0	0	0	0	-1,800	19,649

Feb 10, 2023 2:13 PM

FORTNIGHT CLIENTS' ASSETS SEGREGATION STATEMENT (CASS)

AAA Securities (Private) Limited

31 JAN,2023

Feb 10, 2023 2:13 PM

	1,274,280	18,423,059	119,772	10,089,387	0	0	2,936,330	92,242,635		1,274,280	18,423,059	119,772	10,089,387	0	0	2,936,330	92,240,912
	4,330,382									4,330,382							

Section-B: Details relating to Funds or Cash

CASH SEGREGATION

	Opening	Closing		Opening	Closing
Trade Payable			**Cash at Bank as per Bank Statement**	3,394,548	3,175,641
Director / Sponsors	0	0	**Bank Reconciling entries:**		
Employees	0	0	**Un-cleared cheque**		8,795
Client	668,462	580,918	**Un-presented cheque**		-28,444
			Bank Charges		0
			Entry made by bank not recorded in GL		0
			Others		0
			Cash at Bank as per Bank Ledger		3,155,992
			Add:Other Reconciling Entries		
			PSX Future exposure		0
			PSX Ready exposure		0
			Profit Held by PSX		0
			SLB market exposure		0
			Receivable from NCCPL		0
			Concentration Margin		0
			MTS finance exposure		0
			Provisional Exposure		0
			Others		0
			Total of Reconciling Entries		0
Grand Total	668,462	580,918	**Grand Total**		3,155,992

Section-C: Details relating to amount deposited and withdrawn by clients of the brokerage house

Particulars	Amount
Amount deposited by the clients during the period	55,000
Amount withdrawn by the clients during the period	0

Comments (If any)

NIL

UNDERTAKING BY THE BROKERAGE HOUSE:

We hereby declare that the information furnished in this statement is true, correct and complete and understand that we shall be bound to submit to Pakistan Stock Exchange Limited (PSX), all the relevant information and/or supporting document(s) that the PSX may require to verify the above information at any time. We also declare that securities have been pledged with prior written consent/ approval of the clients. We further acknowledge and agree that in case of non-submission of this statement or submission of incorrect, inaccurate or misleading information in this statement, the PSX shall initiate disciplinary action(s) in accordance with relevant provisions of PSX Regulations.